

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Agarwal et al.

Serial No.: Not Yet Assigned

Group Art Unit: Unknown

Filing Date: Concurrently Herewith

Examiner: Unknown

For: SYSTEMS, METHODS AND COMPUTER PROGRAMS FOR DETERMINING  
DEPENDENCIES BETWEEN LOGICAL COMPONENTS IN A DATA  
PROCESSING SYSTEM OR NETWORK

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicants are aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 09-0441.

Respectfully submitted,



Mohammad S. Rahman  
Registration No. 43,029

McGinn & Gibb, PLLC  
2568-A Riva Road, Suite 304  
Annapolis, Maryland 21401  
(301) 261-8625  
Customer No. 28211

Substitute for form 1449/PTO and PTO/SB/08  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	
				Filing Date	
				First Name of Inventor	Manoj Agarwal
				Art Unit	
Examiner Name					
Sheet	1	of	3	Attorney Docket No	JP920030099US1

US PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-2002/0198983-A1	12-26-2002	Ullmann et al	
		US-2001/0020251-A1	09-06-2001	Sheikh et al	
		US-5,664,093	09-02-1997	Barnett et al	
		US-6,327,677-B1	12-04-2001	Garg et al	
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		US-6,249,885-B1	06-19-2001	Johnson et al	
		US-5,696,701	12-09-1997	Burgess et al	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	<sup>6</sup> T
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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				First Named Inventor	Manoj Agarwal
				Art Unit	
Examiner Name					
Sheet	2	of	3	Attorney Docket No	JP920030099US1

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No <sup>1</sup>	Include name of Author (in CAPITALS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
		R Agarwal, T. Imielinski, and A.Swami, "Mining association rules between sets of items in large databases", <i>In Proc. Of the ACM SIGMOD Conference on Management of Data, pages 207-216, May 1993.</i>		
		J. Aman, C.K. Eilert, D. Emmes, P. Yocom, D. Dillenberger, "Adaptive Algorithms for managing a distributed data processing workload", <i>IBM Systems Journal, vol. 36, no. 2, 1997.</i>		
		"Systems Management: Application Response Measurement (ARM) API", OpenGroup Technical Standard C807, UK ISBN 1 85912-211-6 July 1998.		
		S. Bagchi, G. Kar, J.L. Hellerstein, "Dependency Analysis in Distributed Systems using Fault Injection: Application to Problem Determination in an e-commerce Environment" 12 <sup>th</sup> <i>International Workshop on Distributed Systems: Operations &amp; Management, Nancy, France, 2001.</i>		
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		M.Y. Chen, E. Kiciman, E. Fratkin, A. Fox, and E. Brewer, "Pinpoint: Problem Determination in Large, Dynamic Internet Services", <i>International Conference on Dependable Systems and Networks (DSN'02), June 2002.</i>		
		J. Choi, M. Choi, and S. Lee, "An Alarm Correlation and Fault Identification Scheme Based on OSI Managed Object Classes," <i>In 1999 IEEE International Conference on Communications, Vancouver, BC, Canada, 1999, pp. 1547-51.</i>		
		Ensel, Christian, "New Approach for Automated Generation of Service Dependency Models" <i>Second Latin American Network Operation and Management Symposium, LANOMS, 2001.</i>		
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		B. Gruschke, "Integrated Event Management: Event Correlation using Dependency Graphs", <i>Proceedings of 9<sup>th</sup> IFIP/IEEE International Workshop on Distributed Systems: Operations and Management (DSOM 98), October 1998.</i>		
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		J.L. Hellerstein and S. Ma, "Mining Event Data for Actionable Patterns", The Computer Measurement Group, 2000		
		A. K. Iyengar, M. S. Squillante, L. Zhang, "Analysis and Characterization of Large-Scale Web Server Access Patterns and Performance", <i>World Wide Web vol. 2,#1, 2, June 1999.</i>		

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				First Named Inventor Manoj Agarwal	
				Art Unit	
Examiner Name					
Sheet	3	of	3	Attorney Docket No JP920030099US1	

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		M.J. Katchabaw et al, "Making Distributed Applications Manageable Through Instrumentation," <i>Journal of Systems and Software</i> , Vol.45, 1997		
		S. Katker, "A Modeling Framework for Integrated Distributed Systems Fault Management", Proceedings of the IFIP/IEEE International Conference on Distributed Platforms ICDP'96, Dresden, Germany, 1996.		
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		S. Rangaswamy, R. Willenborg, and W. Qiao, "Writing a Performance Monitoring Tool Using WebSphere Application Server's Performance Monitoring Infrastructure API", <i>In IBM WebSphere Developer Technical Journal</i> , February 2002.		
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		S. Yemini, S. Kliger et al., "High Speed and Robust Event Correlation," <i>IEEE Communications Magazine</i> , vol. 34, no. (5), pp. 82-90, May 1996.		
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